

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 11-169

First Inventor or Application Identifier SAKAI et al.

Title METHOD FOR DISPLAYING AND READING INFORMATION  
CODE FOR COMMERCIAL TRANSACTION

Express Mail Label No.

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Commissioner for Patents  
Mail Stop Patent Application  
Alexandria, VA 22313-1450

1. ☒ \* Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 24]

-Descriptive title of the Invention  
-Cross Reference to Related Applications  
-Background of the Invention  
-Summary of the Invention  
-Brief Description of the Drawings  
-Detailed Description of the Preferred Embodiment  
-Claims  
-Abstract of the Disclosure

3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 15]
4. Oath or Declaration [Total Sheets 3]

- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. § 1.63 (d))  
(for continuation/divisional with Box 16 completed)
- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

\*NOTE FOR ITEMS 1 & 3: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY  
FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT  
IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28)

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement  
(when there is an assignee) ☐ Power of Attorney
9. ☐ English Translation Document (if applicable)
10. ☒ Information Disclosure Statement (IDS) PTO-1449 ☒ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(should be specifically itemized)
13. ☐ Small Entity Statement(s) (PTO/SB/09-12) ☐ Statement filed in prior application,  
Status still proper and desired
14. ☒ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15. ☐ Other: .....

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:
- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

Group/Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

- ☒ Customer Number or Bar Code Label (Insert Customer No. or Bar Code Label here) or ☐ Correspondence address below

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Address

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State

Zip Code

Country

Telephone

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Fax

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Name (Print/Type)

DAVID G. POSZ

Registration No. (Attorney/Agent)

37,701

Signature

*DAVID G. POSZ*

Date

July 9, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Alexandria, VA 22313-1450.

<h1 style="margin: 0;">FEE TRANSMITTAL</h1> <h2 style="margin: 0;">for FY 2003</h2> <p style="margin: 5px 0;"><i>Patent fees are subject to annual revision.</i></p>		<p><i>Complete if Known</i></p>	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Application Number	
TOTAL AMOUNT OF PAYMENT (\$ ) <b>790</b>		Filing Date	<b>July 9, 2003</b>
		First Named Inventor	<b>HARA</b>
		Examiner Name	
		Group/Art Unit	
		Attorney Docket No.	<b>11-169</b>

<h3 style="text-align: center; margin: 0;">METHOD OF PAYMENT (check one)</h3> <p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number <b>50-1147</b></p> <p>Deposit Account Name <b>POSZ &amp; BETHARDS, PLC</b></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed:  <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>	<h3 style="text-align: center; margin: 0;">FEE CALCULATION (continued)</h3> <h4 style="margin: 5px 0;">3. ADDITIONAL FEES</h4> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code</th> <th>Small Entity Fee Code</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>1051</td> <td>130</td> <td>2051</td> <td>65</td> </tr> <tr> <td>1052</td> <td>50</td> <td>2052</td> <td>25</td> </tr> <tr> <td>1053</td> <td>130</td> <td>1053</td> <td>130</td> </tr> <tr> <td>1812</td> <td>2,520</td> <td>1812</td> <td>2,520</td> </tr> <tr> <td>1804</td> <td>920*</td> <td>1804</td> <td>920*</td> </tr> <tr> <td>1805</td> <td>1,840*</td> <td>1805</td> <td>1,840*</td> </tr> <tr> <td>1251</td> <td>110</td> <td>2251</td> <td>55</td> </tr> <tr> <td>1252</td> <td>410</td> <td>2252</td> <td>205</td> </tr> <tr> <td>1253</td> <td>930</td> <td>2253</td> <td>460</td> </tr> <tr> <td>1254</td> <td>1450</td> <td>2254</td> <td>725</td> </tr> <tr> <td>1255</td> <td>1970</td> <td>2255</td> <td>985</td> </tr> <tr> <td>1401</td> <td>320</td> <td>2401</td> <td>160</td> </tr> <tr> <td>1402</td> <td>320</td> <td>2402</td> <td>160</td> </tr> <tr> <td>1403</td> <td>280</td> <td>2403</td> <td>140</td> </tr> <tr> <td>1451</td> <td>1,510</td> <td>1451</td> <td>1,510</td> </tr> <tr> <td>1452</td> <td>110</td> <td>2452</td> <td>55</td> </tr> <tr> <td>1453</td> <td>1,300</td> <td>2453</td> <td>650</td> </tr> <tr> <td>1501</td> <td>1300</td> <td>2501</td> <td>650</td> </tr> <tr> <td>1502</td> <td>470</td> <td>2502</td> <td>235</td> </tr> <tr> <td>1503</td> <td>630</td> <td>2503</td> <td>315</td> </tr> <tr> <td>1460</td> <td>130</td> <td>1460</td> <td>130</td> </tr> <tr> <td>1807</td> <td>50</td> <td>1807</td> <td>50</td> </tr> <tr> <td>1808</td> <td>180</td> <td>1808</td> <td>180</td> </tr> <tr> <td>8021</td> <td>40</td> <td>8021</td> <td>40</td> </tr> <tr> <td>1809</td> <td>750</td> <td>2809</td> <td>375</td> </tr> <tr> <td>1810</td> <td>750</td> <td>2810</td> <td>375</td> </tr> </tbody> </table> <p>Other fee (specify) _____</p> <p>Other fee (specify) _____</p> <p style="text-align: right;">40</p>	Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid	1051	130	2051	65	1052	50	2052	25	1053	130	1053	130	1812	2,520	1812	2,520	1804	920*	1804	920*	1805	1,840*	1805	1,840*	1251	110	2251	55	1252	410	2252	205	1253	930	2253	460	1254	1450	2254	725	1255	1970	2255	985	1401	320	2401	160	1402	320	2402	160	1403	280	2403	140	1451	1,510	1451	1,510	1452	110	2452	55	1453	1,300	2453	650	1501	1300	2501	650	1502	470	2502	235	1503	630	2503	315	1460	130	1460	130	1807	50	1807	50	1808	180	1808	180	8021	40	8021	40	1809	750	2809	375	1810	750	2810	375
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<b>SUBMITTED BY</b>		Complete (if applicable)	
Name (Print/Type) <b>DAVID G. POSZ</b>	Registration No. (Patent/Design) <b>37,701</b>	Telephone <b>(703) 707-9110</b>	Date <b>July 9, 2003</b>
Signature			

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